No.



200100099

## <u> AHHE UNIHEED SHAMES OF AMERICE</u>

# NASH Research Youndation NA Agricultural Experiment Station

MICCOLS, THERE HAS BEEN PRESENTED TO THE

#### Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED DISTINCT VARIETY OF SEXUALLY REPRODUCED, OR TUBER PROPAGATED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) adjudged to be entitled to a certificate of plant variety protection under the  ${\sf LAW}$  .

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF TWENTY YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE sic seed of the variety in a public repository as provided by LAW, the right to exclude others SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR IMPORTING IT, OR EXPORTING IT, IDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE ABOVE PURPOSE, OR USING IT IN GA HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY ION ACT. IN THE UNITED STATES SEED OF THIS VARIETY (1) SHALL BE SOLD BY VARIETY NAME ONLY AS A TIFIED SEED AND (2) SHALL CONFORM TO THE NUMBER OF GENERATIONS SPECIFIED BY THE OWNER OF TAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEO.

SOYBEAN

'Sargent'

In Testimony Therest, I have hereunto set my hand and caused the seal of the Plant Baristy Protection Office to be affixed at the City of Washington, D.C. this ninth day of April, in the

EPRODUCE LOCALLY. Include form number and date on all reproduction AGRICULTURAL MARKETING SERVICE SCIENCE AND TECHNOLOGY - PLANT VARIETY PROTECTION OFFICE APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE (Instructions and information collection burden statement on reverse)	the Paperwork	Reduction Act (PRA) of 1995. Equired in order to determine if a plant variet Information is risk! confidential until certific	Form Approved - OMB No. 0581-009  y protection certificate is to be issued fact is issued (7 U.S.C. 2428).
I. NAME OF OWNER  NDSU Research Foundation		2. TEMPORARY DESIGNATION OR EXPERIMENTAL NAME ND96-1593	3. VARIETY NAME 'Sargent'
ADDRESS (Street and No., or R.F.D. No., City, State, and ZIP Code, and Country)  c/o Executive Director  P.O. Box 5014  Fargo, ND 58105-5014	t gradinategradi Spark gradinategradi Spark gradinategradi Spark di Spark	5. TELEPHONE (Include area code) (701) 231–8931 6. FAX (Include area code) (701) 231–1013	2PONGERIALUSE ONLY 2PONGER 0 0 0
IF THE OWNER NAMED IS NOT A "PERSON", GIVE FORM OF ORGANIZATION (corporation, partnership, association, atq.)  Corporation  North Da	ORPORATION	9. DATE OF INCORPORATION May 1989	2/6/2001
Theodore C. Helms Dale Zetoc Department of Plant Sciences North Dakota State University Fargo, ND 58105-5051  Dale Zetoc NDSU Resea	cha erch Found 5014 58105–5	ation	FILING AND EXAMINATION FEES:  \$ 2 7.05  DATE 2/6/2001  CERTIFICATION FEE:  \$ 3 20
(701) 231 <del>-8136 (701) 231-8474 ted</del> t	ae 1ms@ndsu	nodak edu	ybean
8. CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED (Follow instructions on reverse)  a.   Exhibit A. Origin and Breeding History of the Variety  b.   Exhibit B. Statement of Distinctness  c.   Exhibit C. Objective Description of Variety  d.   Exhibit D. Additional Description of the Variety (Optional)  e.   Exhibit E. Statement of the Basis of the Owner's Ownership  f.   Voucher Sample (2,500 viable untreated seeds or, for tuber propagated varieties, verification that bissue culture will be deposited and maintained in an approved public repository)  g.   Filing and Examination Fee (\$2,705), made payable to "Treasurer of the United States" (Mail to the Flant Variety Protection Office).	20. DOES THE O VARIETY BE  IF YES, WHICH  21. DOES THE O LIMITED AS 1  IF YES, SPECINUMBER 1, 2  (If additional e	winer specify that seed of this limited as to number of classes?  CH CLASSES? FOUNDATION   WINER SPECIFY THAT THE CLASSES BE TO NUMBER OF GENERATIONS?	REGISTERED CERTIFIED  REGISTERED CERTIFIED  REGISTERED CERTIFIED  REGISTERED CERTIFIED  REGISTERED CERTIFIED  REGISTERED CERTIFIED  TOP Indicated on the reverse.)
OTHER COUNTRIES?  YES  NO  IF YES, YOU MUST PROVIDE THE DATE OF FIRST SALE, DISPOSITION, TRANSFER, OR USE FOR EACH COUNTRY AND THE CIRCUMSTANCES. (Please use space indicated on reverse.)	YES, GIVE REFERENCE	COUNTRY, DATE OF FILING OR ISSUANC NUMBER. (Please use space Indicated on	NO.
4. The owners declare that a viable sample of basic seed of the variety will be furnished with application for a tuber propagated variety a tissue culture will be deposited in a public repository and maintained. The undersigned owner(s) is(are) the owner of this sexually reproduced or tuber propagated plant variend and is entitled to protection under the provisions of Section 42 of the Plant Variety Protection Act.  Owner(s) is(are) informed that false representation herein can jeopardize protection and result in panel.	and will be replenish for the duration of the lety, and believe(s) th	ed upon request in accordance with such re- a certificate.	
AME (Please print or type).  Dale Zetocha	SIGNATURE OF O	nt artype)	
	CAPACITY OR TI	a Tana, Biran indahat di kebesahan di Hili di kebendah di Persing Di Hili dalam Kanan di Kanan dan Kebagaian di	OATE
of the Allein			

ENERAL: To be effectively filed with the Plant Variety Protection Office (PVPO), ALL of the following items must be received in the PVPO: (1) Completed optication form signed by the owner; (2) completed exhibits A, B, C, E; (3) for a seed reproduced variety at least 2,500 viable untreated seeds, for a hybrid ariety at least 2,500 untreated seeds of each line necessary to reproduce the variety, or for tuber reproduced varieties verification that a viable (in the sense at it will reproduce an entire plant) tissue culture will be deposited and maintained in an approved public repository; (4) check drawn on a U.S. bank for \$2,705 320 filing fee and \$2,385 examination fee), payable to "Treasurer of the United States" (See Section 97.6 of the Regulations and Rules of Practice.) Partial optications will be held in the PVPO for not more than 90 days, then returned to the applicant as unfiled. Mail application and other requirements to Plant Variety rotection Office, AMS, USDA, Room 500, NAL Building, 10301 Baltimore Avenue, Beltsville, MD 20705-2351. Retain one copy for your files. All items on the ce of the application are self explanatory unless noted below. Corrections on the application form and exhibits must be initialed and dated. DO NOT use asking materials to make corrections. If a certificate is allowed, you will be requested to send a check payable to "Treasurer of the United States" in the amount \$320 for issuance of the certificate. Certificates will be issued to owner, not licensee or agent.

Plant Variety Protection Office Telephone: (301) 504-5518 FAX: (301) 504-5291

Homepage: http://www.ams.usda.gov/science/pvp.htm

EM

3a. Give:

- (1) the genealogy, including public and commercial varieties, lines, or clones used, and the breeding method;
- (2) the details of subsequent stages of selection and multiplication;
- (3) evidence of uniformity and stability; and
- (4) the type and frequency of variants during reproduction and multiplication and state how these variants may be identified
- 3b. Give a summary of the variety's distinctness. Clearly state how this application variety may be distinguished from all other varieties in the same crop. If the new variety is most similar to one variety or a group of related varieties:
  - (1) identify these varieties and state all differences objectively;
  - (2) attach statistical data for characters expressed numerically and demonstrate that these are clear differences; and
  - (3) submit, if helpful, seed and plant specimens or photographs (prints) of seed and plant comparisons which clearly indicate distinctness.
- 3c. Exhibit C forms are available from the PVPO Office for most crops; specify crop kind. Fill in Exhibit C (Objective Description of Variety) form as completely as possible to describe your variety.
- 3d. Optional additional characteristics and/or photographs. Describe any additional characteristics that cannot be accurately conveyed in Exhibit C. Use comparative varieties as is necessary to reveal more accurately the characteristics that are difficult to describe, such as plant habit, plant color, disease resistance, etc.
- 3e. Section 52(5) of the Act requires applicants to furnish a statement of the basis of the applicant's ownership. An Exhibit E form is available from the PVPO.
- If "Yes" is specified (seed of this variety be sold by variety name only, as a class of certified seed), the applicant MAY NOT reverse this affirmative decision after the variety has been sold and so labeled, the decision published, or the certificate issued. However, if "No" has been specified, the applicant may change the choice. (See Regulations and Rules of Practice, Section 97.103).
- 1. See Section 83 of the Act for the Contents and Term of Plant Variety Protection.
- 2. See Sections 41, 42, and 43 of the Act and Section 97.5 of the regulations for eligibility requirements.
- 3. See Section 5.5 of the Act for instructions on claiming the benefit of an earlier filing date.
- I. CONTINUED FROM FRONT (Please provide a statement as to the limitation and sequence of generations that may be certified.)
- 2. CONTINUED FROM FRONT (Please provide the date of first sale, disposition, transfer, or use for each country and the circumstances, if the variety actualing any harvested material) or a hybrid produced from this variety has been sold, disposed of, transferred, or used in the U.S. or other countries.)

U.S.A. release date: January 19, 2001

3. CONTINUED FROM FRONT (Please give the country, date of filing or issuance, and assigned reference number, if the variety or any component of the ariety is protected by intellectual property right (Plant Breeder's Right or Patent).)

#### None

OTES: It is the responsibility of the applicant/owner to keep the PVPO informed of any changes of address or change of ownership or assignment or owner's spresentative during the life of the application/certificate. There is no charge for filing a change of address. The fee for filing a change of ownership or ssignment or any modification of owner's name is specified in Section 97.175 of the regulations. (See Section 101 of the Act, and Sections 97.130, 97.131, 7.175(h) of the Regulations and Rules of Practice.)

o avoid conflict with other variety names in use, the applicant must check the variety names proposed by contacting: Seed Branch, AMS, USDA, Room 213, uilding 306, Beltsville Agricultural Research Center-East, Beltsville, MD 20705. Telephone: (301) 504-8089.

scording to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control mber for this collection of information is (0581-0055). The time required to complete this information is estimated to average 1.4 hours per response, including the time for reviewing instructions, searching existing data urces, gathering and maintaining the data needed, and completing and reviewing the collection of information.

te U.S. Department of Agriculture (USDA) prohibits discrimination in all its programs and activities on the basis of race, color, national origin, gender, religion, age, disability, political beliefs, sexual orientation, and marital or family itus. (Not all prohibited bases apply to all programs.) Persons with disabilities who require alternative means for communication of program information (Braille, large print, audiotape, etc.) should contact the USDA's TARGET Center 202-720-2600 (voice and TDD). To file a complaint of discrimination, write USDA; Director, Office of Civil Rights, Room 326-W. Whitten Building, 14th and Independence Avenue, SW, Washington, DC 20250-9410 or call (202) 10-5964 (voice and TDD). USDA is an equal opportunity provider and employer.

57-470 (2-99) designed by the Plant Veriety Protection Office with WordPerfect 6.0a. Replaces STD-470 (6-98) which is absolete.

#### Exhibit A

#### Origin and Breeding History of the Variety Sargent

Sargent, tested as ND no. 96-1593 was derived from the cross ND88-800/Council. ND88-800 is an experimental line developed by North Dakota State University, Fargo, ND that was never released as a named cultivar. Council is a cultivar that was developed by North Dakota State University, Fargo, ND. The cross was made in Fargo, ND in 1993. The F1 plant was grown in the 1993-1994 Chile, S.A. winter nursery. The F2 seed was grown in the summer of 1994 and advanced to the F3 generation by the single-pod bulk method. The F3 population was grown in the 1994-1995 Chile winter nursery. The F4 generation was grown in the 1995 Casselton, ND nursery. F4 plants from the segregating population were individually threshed in the fall of 1995. In the 1996 Casselton, ND nursery, F4:5 plant-rows were selected. ND96-1593 was first tested in replicated yield trials in 1997. Sargent was evaluated in the Uniform Regional Soybean Tests: Northern States as a Maturity Group 0 experimental line in 1999 and 2000. Individual F4:6 plants were threshed in 1998 and 48 single plant selections were evaluated for uniformity in the summer of 1999. Six purification rows were discarded and the remaining 42 rows were individually harvested and bulked after evaluation of hilum color, plant maturity, flower color, plant height, pubescence color, seed coat luster and color, and pod color. Breeder seed of ND96-1593 was increased in the 1999-2000 Chile winter nursery. In the summer of 2000 the Foundation seed of ND96-1593 was increased at Casselton, ND. Sargent was released January 19, 2000 as an F9 generation pure line soybean cultivar and is uniform and stable within acceptable limits. Three purple flower color plants per 100 plants were found in the Foundation seed as offtypes.

#### Exhibit B

#### **Novelty Statement**

- 1. Sargent was developed primarily for increased yield, phytophthora root rot resistance and suitable maturity for North Dakota. Sargent is morphologically different from both parents.
- 2. ND88-800 is heterogeneous for flower color (purple and white), has grey pubescence, brown pods, imperfect black hila color, and an indeterminate growth habit with the Rps6 allele. Council has purple flower color, grey pubescence, brown pods, yellow hila color and an indeterminate growth habit. Sargent has white flower color, the Rps6 allele for phytophthora root rot resistance, and yellow hila color.

# U.S. DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE

PLANT VARIETY PROTECTION OFFICE BELTSVILLE, MARYLAND 20705

# OBJECTIVE DESCRIPTION OF VARIETY SOYBEAN (Glycine max L.)

NAME OF APPLICANT(S)	TEMPORARY DESIGNATION	VARIETY NAME
NDSU Research Foundation	ND96-1593	Sargent
ADDRESS (Street and No., or R.F.D. No., City, State, and Zip		FOR OFFICIAL USE ONLY
PO Box 5014	Same Ship is	PVPO NUMBER
Fargo, ND 58105-5014		200100099
Choose the appropriate response which characterizes the in your answer is fewer than the number of boxes provid Starred characters * are considered fundamental to an acwhen information is available.	ed, place a zero in the first box w	then number is 9 or less (e.g., 0 9)
		(L/W ratio > 1.2; L/T ratio = < 1.2) L/T ratio > 1.2; T/W > 1.2)
SEED COAT COLOR: (Mature Seed)	4 - Clongate Flattened (	U(1add) 1.2, 1/4 ) 1.21
1 1 = Yellow 2 = Green 3 = Brown	4 = Black 5 = Other (	Specify)
SEED COAT LUSTER: (Mature Hand Shelled Seed)		
1 = Oull ('Corsoy 79'; 'Braxton') 2 = Shiny ('Ne	bsoy'; 'Gasoy 17')	
SEED SIZE: (Mature Seed)  8 Grams per 100 seeds		
HILUM COLOR: (Mature Seed)  2 1 = Buff 2 = Yellow 3 = Brown	4 = Gray 5 = Imperfect Blac	k 6 = Black 7 = Other (Specify)
COTYLEDON COLOR: (Mature Seed)  1 = Yellow 2 = Green		
SEED PROTEIN PEROXIDASE ACTIVITY:  2 1 = Low 2 = High		
SEED PROTEIN ELECTROPHORETIC BAND:  1 = Type A (SP1 <sup>a</sup> ) 2 = Type 8 (SP1 <sup>b</sup> )		
HYPOCOTYL COLOR:		
	th bronze band below cotyledons ("Wo ) ; 'Coker Hampton 266A")	oodwarth'; 'Tracy')
LEAFLET SHAPE:		
2 1 = Lanceolate 2 = Oval 3 = Ovate	4 = Other (Specify)	

FORM LMGS-470-57 (6-83)

(Edition of 2-82 is obsolete.)

11. LEAFLET SIZE:	
1 = Small ('Amsoy 71'; 'A5312') 3 = Large ('Crawford'; 'Tracy')	2 = Medium ('Corsoy 79'; 'Gasoy 17')
12. LEAF COLOR:	
1 = Light Green ('Weber'; 'York') 3 = Dark Green ('Gnome'; 'Tracy')	2 = Medium-Green ('Corsoy 79'; 'Braxton')
± 10 510000	
★ 13. FLOWER COLOR:  1 - White 2 - Purple	3 = White with purple throat
★ 14. POD COLOR:	
2 1 = Tan 2 = Brown	3 = Black
★ 15. PLANT PUBESCENCE COLOR:	
1 = Gray 2 = Brown (Tawny)	
16. PLANT TYPES:	
1 = Stender ("Essex"; "Amsoy 71") 3 = Bushy ("Gnome"; "Govan")	2 = Intermediate ('Amcor'; 'Braxton')
★ 17. PLANT HABIT:	
1 = Determinate ('Gnome'; 'Braxton') 3 = Indeterminate ('Nebsoy'; 'Improved Peli  18. MATURITY GROUP:	. 2 = Semi-Determinate (Will') can')
1 = 000 2 = 00 3 = 0 9 = VI 10 = VII 11 = VIII	4=[ 5=II 6=III 7=[V 8=V 12=IX 13=X
7 19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Se	sceptible: 2 = Resistant)
BACTERIAL DISEASES:  ** 0 Bacterial Pustule (Xanthomonas phaseoli var.	.sojensis)
* 0 Bacterial Blight (Pseudomonas glycinea)	
★ 0 Wildfire (Pseudomanas tabaci)	요하는 후에 가는 것이 없는 것이 되는 것이 하는 것이 되는 것이 없다. 
FUNGAL DISEASES:	
Brown Spot (Septaria glycines)	
Frogeye Leaf Spot (Carcospora sojina)	
★ 0 Race 1 Race 2 Race	3 Race 4 Race 5 Other (Specify)
Target Spot (Corynespora cassiicola)	
Downy Mildow (Peranospara trifoliarum var. n     Pauriery Mildow (Minoralyses different)	nanshurica)
Powdery Mildew (Microsphaera diffusa)	
Brown Stem Rot (Cephalosporium gregatum)  Stem Canker (Diaporthe phaseolorum var. caul	ivom!

FORM LMGS-470-57 (6-83)

Page

19. DISEASE REAC	CTION: (Enter 0 = Not Tested; 1 = Susceptible	· 2 = Resistant (Continued)					
	SEASES: (Continued)						
★ 0 Pod and	d Stem Blight <i>(Dieporthe pheseolorum var; soje</i>						
1 1	Seed Stain (Cercospora kikuchii)	<b>=</b> /					
	tonia Root Rot (Rhizoctonia solani)	•					
<b>+</b> 2	nthora Rot (Phytophthora megasperma var. soja	e)					
C Nage I	2 Race 2 2 Race 3	김 Race 4 · I Ra	ce 5 Aace 6 Race 7				
Race 8	I Race 9 Other (Specify	1 resistant to race	5 10, 12, 14, 15, 16, 18, 19, 20				
VIRAL DISEA		•	21 25				
0 Bud Blig	ht (Tobacco Ringspot Virus)	susception to l	uces 11, 13, 17, 22, 23, 24, 26, 27				
Yellow N	flosaic (Bean Yellow Mosaic Virus)		15 Jan. 2002				
* O Cowpea	Mosaic (Cowpea Chlorotic Virus)						
0 Pod Mott	ile (Bean Pod Mottle Virus)						
* 0 Seed Mot	tle (Soybean Mosaic Virus)						
NEMATODE DI							
<b>+</b> 10	Cyst Nematode (Heterodera glycines)						
Race 1 Race 2 Race 3 Race 4 Other (Specify)							
, —	natode (Hapiciaimus Colombus)						
<del></del>	Root Knot Nematode (Melaidogyne incognita)						
Northern F	Root Knot Nematode (Meloidogyne Hapla)						
Peanut Root Knot Nematode (Meloidogyne erenarie)							
0 Reniform	lematode (Rotylenchulus reniformis)						
O OTHER DI	SEASE NOT ON FORM (Specify):	•					
	ESPONSES: (Enter 0 = Not Tested; 1 = Susce	ptible; 2 = Resistant)					
2 Iron Chloro	sis on Calcareous Soil						
	(fy)						
1. INSECT REACTION:	(Enter 0 = Not Tested; 1 = Susceptible; 2 = R	⊄sistant)					
	n Beetle (Epilachna varivestis)						
O Poteto Leaf	Hopper (Empossos febas)						
0 Other (Specif	พ	•					
2. INDICATE WHICH VARIETY MOST CLOSELY RESEMBLES THAT SUBMITTED.							
CHARACTER	NAME OF VARIETY	~					
Plant Shape	Barnes	CHARACTER	NAME OF VARIETY				
Leaf Shape	Barnes	Seed Coat Luster	Council Nonne				
Leaf Color	Barnes	Seed Size	Norpro				
Leaf Size	Barnes	Seed Shape Seedling Pigmentation	Council Council				
		The state of the s	Council				

### 23. GIVE DATA FOR SUBMITTED AND SIMILAR STANDARD VARIETY: Paired Comparison Data

VARIETY	NO. OF DAYS	DAYS LODGING	CM PLANT	LEAFLET SIZE		SEED CONTENT		SEED SIZE G/100	T N
	MATURITY	SCORE	HEIGHT	CM Width	CM Length	% Protein	% Oil	SEEDS	SEE
Sargent Submitted	128	1.3	76	6.4	8.9	41.3	20.0	18.3	2.4
Barnes Name of Similar Variety	122	1.4	74	5.7	8.9	40.7	21.2	17.4	2.5

### PUBLICATIONS USEFUL AS REFERENCE AIDS FOR COMPLETING THIS FORM:

- 1. Caldwell; B.E., ed. 1973. Soybeans: Improvement, Production, and Uses. Amer. Soc. Agron. Monograph No. 16.
- 2. Buttery, B.R. and R.I. Buzzell. 1968. Peroxidase activity in seeds of soybean varieties. Crop Sci., 8: 722-725.
- 3. Hymowitz, T. 1973. Electrophoretic analysis of SBTI-A2 in the USDA soybean germplasm collection. Crop Sci., 13: 420-421.
- 4. Payne, R.C. and L.F. Morris. 1976. Differentiation of soybean cultivars by seedling pigmentation patterns. J. Seed Technol. 1: 1-1

	A STATE OF THE STA			
U.S. DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE	The following statements are made in accordance with the Privacy Ac 1974 (5 U.S.C. 552a) and the Paperwork Reduction Act (PRA) of 1995.			
EXHIBIT E STATEMENT OF THE BASIS OF OWNERSHIP	Application is required in order to de certificate is to be issued (7 U.S.C. 24 until certificate is issued (7 U.S.C. 2426)	(21). Information is held confidential		
1. NAME OF APPLICANT(S)	2. TEMPORARY DESIGNATION	3. VARIETY NAME		
NDSU Research Foundation	OR EXPERIMENTAL NUMBER	* ·		
	ND96-1593	'Sargent'		
4. ADDRESS (Street and No., or R.F.D. No., City, State, and ZIP, and Country)	5. TELEPHONE (include area code)	6. FAX (include area code)		
c/o Executive Director	(701) 231–8931	(701) 231-1013		
P.O. Box 5014 Fargo, ND 58105-5014	7 DVDO NIMBED			
101go, ND - 50105-5014	2 0 0 1 0	0000		
8. Does the applicant own all rights to the variety? Mark an "X" in appropri	iate block. If no, please explain.	YES NO		
		<del></del> -		
9. Is the applicant (individual or company) a U.S. national or U.S. based or	ompany?	YES NO		
If no, give name of country		-M		
10. Is the applicant the original owner? YES X	of the fo	ollowing:		
a. If original rights to variety were owned by individual(s), is (are) the or	iginal owner(s) a U.S. national(s)?	•		
		•		
	O If no, give name of country			
b. If original rights to variety were owned by a company(ies), is(are) the	original owner(s) a U.S. based company	?		
X YES	O If no, give name of country	·		
11. Additional explanation on ownership (if needed, use reverse for extra sp				
The second of th	ace).			
See additional Exhibit E Statement on the Bas	is of the applicant's ow	nership included in		
the application.				
DI FACE NOME.				
PLEASE NOTE:				
Plant variety protection can be afforded only to owners (not licensees) who meet or	ne of the following criteria:			
1. If the rights to the variety are owned by the original breeder, that person must be	a U.S. national, national of a UPOV member	er country, or national of a country		
which affords similar protection to nationals of the U.S. for the same genus and	species.			

- 2. If the rights to the variety are owned by the company which employed the original breeder(s), the company must be U.S. based, owned by nationals of a UPOV member country, or owned by nationals of a country which affords similar protection to nationals of the U.S. for the same genus and species.
- 3. If the applicant is an owner who is not the original owner, both the original owner and the applicant must meet one of the above criteria.

The original breeder/owner may be the individual or company who directed final breeding. See Section 41(a)(2) of the Plant Variety Protection Act for definition.

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0581-0055. The time required to compete this information collection is estimated to average 10 minutes per response, including the time for reviewing instructions. searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

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To file a complaint, write the Secretary of Agriculture, U.S. Department of Agriculture, Washington, D.C. 20250, or call 1-800-245-6340 (voice) or (202) 720-1127 (TDD). USDA is an equal employment opportunity employer.

STD-470-E (07-97) (Destroy previous editions).

Electronic version designed using WordPerfect InForms by USDA-AMS-IMB.

#### Exhibit E

#### Statement of the basis of applicant's ownership

Dr. Theodore Helms, an employee of the North Dakota Agricultural Experiment Station and North Dakota State University, is the plant breeder who developed 'SARGENT', the soybean cultivar for which Plant Variety Protection is hereby sought. The employee by agreement and because of the conditions of the use of the facilities and funds of the North Dakota Agricultural Experiment Station and North Dakota State University has assigned all ownership rights to 'SARGENT' soybean to the North Dakota Agricultural Experiment Station and North Dakota State University.

North Dakota State University on behalf of the North Dakota Agricultural Experiment Station has assigned ownership to the NDSU Research Foundation. The NDSU Research Foundation is a nonprofit corporation set up to own and manage the intellectual property of North Dakota State University.